

APPENDIX 4

REQUEST FOR BOARD ACTION BY A BOARD MEMBER

Requester: Daniel J. Santos August 18, 2016

Brief description of Requested Action: request Board approval of the following:

1. Conduct a multi-day public meeting the first week of October 2016 to:
 - a. Allow the Board to deliberate on the existing Board strategic goals and objectives to provide early guidance for the development of the next 5-year strategic plan.
 - b. Allow the Board to deliberate on the methods used and guidance provided to staff to prioritize work in all work units.
 - c. Allow the Board to deliberate on all draft work plans, including discussing Board individual comments, public comments received, proposing and dispositioning of amendments, and voting on final plans.
2. All documents to be discussed in the public meeting shall be published (both to the DNFSB internet and Federal Register) no later than September 1, 2016. Public feedback shall be requested with a 30 calendar day comment period (ending on September 30, 2016). Public comments shall be collected and provided to all Board Members prior to the public meeting. Documents include but are not limited to:
 - a. FY2014-2018 Board Strategic Plan
 - b. Work units prioritization methodology
 - c. FY17 OTD draft work plan (orange folder version)
 - d. FY17 OGM draft work plan (orange folder version)
 - e. FY17 OGC draft work plan (orange folder version)
 - f. FY17 draft staffing plan (orange folder version)
3. During the meeting, DNFSB staff will summarize strategic goals and objectives, work prioritization methods and procedures, each draft work plan, and public feedback received.

Justification: Provide a forum for the public to comprehend and effectively engage on the Board's activities. Provide a forum to allow the public to view the Board members openly deliberate, amend, and vote on the agency strategic goals, priorities, and work activities.

Attachments (init) n/a (included clean version of any proposed document or modified document)

Summarize any time sensitive considerations:

Requestor signature signature on file August 18, 2016

Assistant Executive secretary signature on file August 18, 2016

APPENDIX 4

	APRVD	DISAPRVD	ABSTAIN	NOT PARTICIPATING	COMMENT	DATE
Joyce L. Connery	<input type="checkbox"/>	_____				
Jessie H. Roberson	<input type="checkbox"/>	_____				
Sean Sullivan	<input type="checkbox"/>	_____				
Daniel J. Santos	<input type="checkbox"/>	_____				
Bruce Hamilton	<input type="checkbox"/>	_____				
Final Disposition Summary						

Executive Secretary signature _____ [Click here to enter a date.](#)

AFFIRMATION OF BOARD VOTING RECORD

SUBJECT: Request for Board Action by Board Member Daniel J. Santos to Conduct a Public Business Meeting in October 2016

Doc Control#2016-300-035

The Board, with Board Member(s) none *approving*, Board Member(s) Joyce L. Connery, Jessie H. Roberson, Sean Sullivan, Daniel J. Santos *disapproving*, Board Member(s) Bruce Hamilton *abstaining*, and Board Member(s) none *recusing*, have voted to disapprove the above document on September 1, 2016.

The votes were recorded as:

	APRVD	DISAPRVD	ABSTAIN	NOT PARTICIPATING*	COMMENT	DATE
Joyce L. Connery	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	09/01/16
Jessie H. Roberson	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	08/31/16
Sean Sullivan	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	08/31/16
Daniel J. Santos	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	09/01/16
Bruce Hamilton	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	08/30/16

*Reason for Not Participating:

This Record contains a summary of voting on this matter together with the individual vote sheets, views and comments of the Board Members.



Executive Secretary to the Board

Attachments:

1. Voting Summary
2. Board Member Vote Sheets

cc: Board Members
OGC
OGM Records Officer
OTD

DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET

FROM: Joyce L. Connery

SUBJECT: Request for Board Action by Board Member Daniel J. Santos to Conduct a
Public Business Meeting in October 2016

Doc Control#2016-300-035

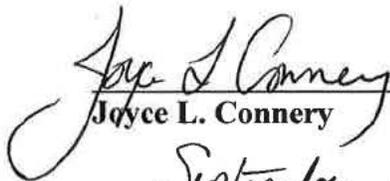
Approved _____ Disapproved X Abstain _____

Recusal – Not Participating _____

COMMENTS: Below X Attached _____ None _____

I do not think the value of such a public meeting would justify the considerable work, expense, and distraction from the work of the Agency. Additionally, I think that we should not delay the process of putting work and staffing plans into place. I view these plans as the operational aspects of the Agency and not the strategic discussions on nuclear safety that would be a more appropriate use of a public meeting.

A public meeting in which legitimate issues of nuclear safety and strategic direction (with a limited scope) would be appropriate. Such a meeting would focus on Board deliberation, not on questioning the staff in a public forum. My other concern remains the Board's ability to conduct a meeting in a manner that would increase confidence in the Agency's focus on nuclear safety and not unintentionally undermine our credibility. I say this as I have noticed that an increasing number of interactions among the Board have been focused on issues other than the direct safety mission and we have had meetings in the past, as well as notational votes that, in my view, have not reflected well on the Agency.



Joyce L. Connery
September 1, 2016

Date

DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET

FROM: Jessie H. Roberson

SUBJECT: Request for Board Action by Board Member Daniel J. Santos to Conduct a
Public Business Meeting in October 2016

Doc Control#2016-300-035

Approved _____ Disapproved X Abstain _____
Recusal – Not Participating _____

COMMENTS: Below X Attached _____ None _____

As stated in my earlier comments, I believe the Agency could benefit from Board Member deliberations and discussions regarding Strategic goals, objectives and prioritization approach. It would be more efficient for the agency's work planning process in advance of tasking the staff to develop an annual work plan and allocate Board Member disagreements about specific activities, when the real disagreement is on prioritization and Strategic approach.

Jessie H. Roberson
Jessie H. Roberson

August 31, 2016
Date

DEFENSE NUCLEAR FACILITIES SAFETY BOARD

NOTATIONAL VOTE RESPONSE SHEET

FROM: Sean Sullivan

SUBJECT: Request for Board Action by Board Member Daniel J. Santos to Conduct a
Public Business Meeting in October 2016

Doc Control#2016-300-035

Approved _____ Disapproved X Abstain _____

Recusal – Not Participating _____

COMMENTS: Below _____ Attached _____ None X



Sean Sullivan

8/31/16

Date

Shelby Qualls

From: Daniel J. Santos
Sent: Thursday, September 01, 2016 4:36 PM
To: Shelby Qualls; Lotus Smith
Subject: RE: Notational Vote Doc#2016-300-035, Request for Board Action by Board Member Daniel J. Santos to Conduct a Public Business Meeting in October 2016 - BLUE FOLDER

Disapproved with the following comments:

I am disapproving my own proposed action because at this time my analysis of the input provided by fellow Board Members and Office Directors regarding the impacts to the various workloads outweigh the benefits of public deliberations on such plans prior to their completion planned for early October 2016.

I also disapprove to avoid creating a false public expectation that the activities that would be discussed in this public meeting will actually result in agency work and work products on those activities. It would be hard to provide public accountability without first establishing a clear relationship between the planning phase, staff products, and appropriate follow-up activities because the agency lacks formal work control and tracking tools. I look forward to necessary improvements in these areas.

From: Shelby Qualls
Sent: Tuesday, August 30, 2016 3:21 PM
To: Mark Welch <[REDACTED]>; James Biggins <[REDACTED]>; Neysa Slater-Chandler <[REDACTED]>; Steven Stokes <[REDACTED]>; Katherine Herrera <[REDACTED]>; Bruce Hamilton <[REDACTED]>; Daniel J. Santos <[REDACTED]>; Jessie Roberson <[REDACTED]>; Joyce Connery <[REDACTED]>; Sean Sullivan <[REDACTED]>
Cc: ExSec <[REDACTED]>
Subject: Notational Vote Doc#2016-300-035, Request for Board Action by Board Member Daniel J. Santos to Conduct a Public Business Meeting in October 2016 - BLUE FOLDER

Re-sent with ballots.

This email is an electronic record of Notational Vote. Voting ballot will follow shortly. Also, accepting electronic votes.

**DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET**

FROM: Members of the Board
SUBJECT: Request for Board Action by Board Member Daniel J. Santos to Conduct a Public Business Meeting in October 2016

DOC# 2016-300-035

Office Directors have provided their input.

Approved _____
Disapproved _____

DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET

FROM: Bruce Hamilton

SUBJECT: Request for Board Action by Board Member Daniel J. Santos to Conduct a
Public Business Meeting in October 2016

Doc Control#2016-300-035

Approved _____ Disapproved _____ Abstain X
Recusal – Not Participating _____

COMMENTS: Below X Attached _____ None _____

While there is value in conducting the proposed public business meeting, there are also substantial costs that would be incurred as identified by the Technical Director, General Manager, and Acting General Counsel.

I will defer this cost-benefit decision to the wisdom of my fellow Board Members.

I therefore abstain.


Bruce Hamilton

30 AUG 2016
Date